



Docket No.: 026473.104-US01

(PATENT)

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of:

Rong C. Fang, et al.

Application No.: 09/935,780-9034

Group Art Unit: 2661

Filed: August 24, 2001 Examiner: Not Yet Assigned

For: UNIFIED DATA PACKET FOR

**ENCAPSULATING DATA PACKETS** 

HAVING DIVERSE FORMATS

## TRANSMITTAL LETTER

Box Missing Parts Commissioner for Patents Washington, DC 20231

Dear Sir:

Enclosed are the following items for filing in connection with the above-referenced Patent Application:

- Fee Transmittal;
- Response to File Missing Parts of Application (in duplicate);
- Copy of the Notice to File Missing Parts of Nonprovisional Application;
- Application Data Sheet;
- Declaration;
- Power of Attorney;

2

- 7. Statement under 37 CFR 3.73(b) with a copy of the Assignment;
- Verified Statement (Declaration) Claiming Small Entity Status (37 CFR §§
   1.9(d) and 1.27(c))--Small Business Concern;
- 9. Check No. 288125 for \$813.00 to cover:
  \$370 application filing fee;
  \$378 additional claims fee; and
  \$65.00 surcharge for late filing of the Declaration; and

10. Return receipt postcard.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Docket No. 026473.104-US01. A duplicate copy of this paper is enclosed.

Dated: Monday, November 26, 2001 (November 25, 2001 = Sunday)

Respectfully submitted,

Paul J. Berman

Registration No.: 36,744 COVINGTON & BURLING 1201 Pennsylvania Avenue, N.W. Washington, DC 20004-2401 (202) 662-6000

Attorneys for Applicant

PTO/SB/17 (10-01)
Approved for use through 10/31/2002. OMB 0651-0032
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

# FEE TRANSMITTAL for FY 2002

Patent fees are subject to annual revision.

813.00

TOTAL AMOUNT OF PAYMENT

Complete if Known 09/935,780-9034 Application Number Filing Date August 24, 2001 First Named Inventor Rong C. Fang Not Yet Assigned **Examiner Name** Group Art Unit 2661 026473.104-US01 Attorney Docket No.

**METHOD OF PAYMENT** FEE CALCULATION (continued) The Commissioner is hereby authorized to charge 3. ADDITIONAL FEES indicated fees and credit any overpayments to: Large Entity **Small Entity** Deposit 50-0740 Fee Fee Fee Fee Fee Description Code (\$) Code (\$) Paid Deposit 105 130 205 65 Surcharge - late filing fee or oath 65.00 Surcharge - late provisional filing fee or Name 127 50 227 25 cover sheet. Charge Any Additional Applicant claims small 139 130 139 130 Non-English specification Fee Required Under 37 CFR 1.16 and 1.17 entity status. See 37 CFR 1.27 147 2,520 147 2,520 For filing a request for ex parte reexamination Requesting publication of SIR prior to 112 920\* 112 920\* Х **Payment Enclosed** Requesting publication of SIR after 113 1.8401 113 1,8401 Credit Card х Check Money Order Other Examiner action **FEE CALCULATION** 115 110 215 55 Extension for reply within first month 1. BASIC FILING FEE 400 216 116 200 Extension for reply within second month Large Entity Small Entity 117 920 217 460 Extension for reply within third month Fee Fee Fee Fee Description 118 1,440 218 720 Extension for reply within fourth month Code Code Fee Paid (\$) (\$) 101 740 201 370 Utility filing fee 370.00 128 1.960 228 980 Extension for reply within fifth month Design filing fee 106 330 206 165 119 320 219 160 Notice of Appeal Plant filing fee 107 510 207 255 120 320 220 160 Filing a brief in support of an appeal 108 740 208 370 Reissue filing fee 121 280 221 140 Request for oral hearing 114 160 214 80 Provisional filing fee 138 1,510 138 1,510 Petition to institute a public use proceeding 140 110 240 55 Petition to revive - unavoidable SUBTOTAL (1) (\$) 370.00 141 1.280 241 640 Petition to revive - unintentional Fee from 2. EXTRA CLAIM FEES Utility issue fee (or reissue) 142 1.280 242 640 Fee Paid Claims below **Total Claims** 16 -20\* 0.00 143 460 243 230 Design issue fee Independent 620 310 9 42.00 378.00 144 244 Plant issue fee 12 -3\*\* = Claims 122 130 122 130 Petitions to the Commissioner Multiple Dependent 123 50 123 50 Processing fee under 37 CFR 1.17(q) Large Entity Small Entity 126 180 126 180 Submission of Information Disclosure Stmt Recording each patent assignment per Fee Fee Description 581 40 581 40 Code (\$) Code (\$) property (times number of properties) 103 18 203 9 Claims in excess of 20 Filing a submission after final rejection 146 740 246 370 (37 CFR 1.129(a)) 102 202 84 42 Independent claims in excess of 3 For each additional invention to be 149 740 249 370 104 280 204 140 Multiple dependent claim, if not paid examined (37CFR 1.129(b)) Reissue independent claims 740 179 279 370 Request for Continued Examination (RCE) 109 84 209 42 over original patent Request for expedited examination 169 900 169 900 of a design application 110 18 210 9 \*\* Reissue claims in excess of 20 Other fee (specify) and over original patent SUBTOTAL (2) (\$) 378.00 \*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 65.00 \*\*or number previously paid, if greater, For Reissues, see above

Name (print/type) Paul J Bermal Registration No. (Attorney/Agent) 36,744 Telephone (202) 662-6000  Signature Date Monday, November 26, 2001 (11/25/01=Sunday)	SUBMITTED BY			Complete (if applicable)	
Signature Monday, November 26, 2001 (11/25/01=Sunday)	Name (print/type) Paul J Berma		36,744	elephone	(202) 662-6000
	Signature	Siman	C.		



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HAVING DIVERSE FORMATS

# RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION

## **Box Missing Parts**

Commissioner for Patents Washington, DC 20231

Dear Sir:

In response to the Notice to File Missing Parts of Application – Filing Date Granted mailed September 25, 2001, Applicants respectfully submit the following documents:

- 1. Fee Transmittal;
- 2. Copy of the Notice to File Missing Parts of Nonprovisional Application;
- 3. Application Data Sheet;
- 4. Declaration;
- 5. Power of Attorney;
- 6. Statement under 37 CFR 3.73(b) with a copy of the Assignment;
- 7. Verified Statement (Declaration) Claiming Small Status (37 CFR §§ 1.9(b) and 1.27(c))--Small Business Concern;

8. Check No. 288125 for \$813.00 to cover:

\$370.00 basic filing fee;

\$378.00 additional claims fee;

\$65.00 surcharge for late filing of the Declaration; and

9. Return receipt postcard.

The Commissioner is hereby authorized to charge any deficiency in the fees filed, asserted to be filed or which should have been filed herewith (or with any paper hereafter filed in this application by this firm) to our Deposit Account No. 50-0740, under Docket No. 026473.104-US01. A duplicate copy of this paper is enclosed.

Dated: Monday, November 26, 2001 (November 25, 2001 = Sunday) Respectfully

Paul J. Berm

Registration No.: 36,744 COVINGTON & BURLING 1201 Pennsylvania Avenue, N.W. Washington, DC 20004-2401

(202) 662-6000



# UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS UNITED STATES PATENT AND TRADEMARK OFFICE WASHINGTON, D.C. 20231 www.uspto.gov

APPLICATION NUMBER

FILING/RECEIPT DATE

FIRST NAMED APPLICANT

ATTORNEY DOCKET NUMBER

09/935.780

08/24/2001

Rong C. Fang

026473.104-US01

**CONFIRMATION NO. 9034** 

Date Mailed: 09/25/2001

**FORMALITIES LETTER** 

\*OC000000006790213\*

26853 **COVINGTON & BURLING** ATTN: PATENT DOCKETING 1201 PENNSYLVANIA AVENUE, N.W WASHINGTON, DC 20004-2401

# NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

## FILED UNDER 37 CFR 1.53(b)

#### Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 710 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1.27).
- Total additional claim fee(s) for this application is \$720.
  - \$720 for 9 independent claims over 3.
- The oath or declaration is missing. A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 1560.

A copy of this notice <u>MUST</u> be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

/27/2001 SFELEKE1 00000049 09935780